Attorney's Docket No.: CF-24 Serial No.: 09/927,628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Ap | oplication of: |) | | |
|-----------------|---|---|------------------------|------------------|
| | Andrew H. Pritchard |) | | |
| Application No: | |) | Art Unit: | 3624 |
| | 09/927,628 |) |) Gardina Na 5262 | |
| Filed: | August 10, 2001 |) | Confirmation No.: 5363 | |
| For: | Systems and Methods for Developing and Administering Investment Trusts |) | Examiner: | Olabode Akintola |
| P.O. Bo | ssioner for Patents ox 1450 dria, VA 22313-1450 | | | |
| Sir: | | | | |

PRELIMINARY AMENDMENT

Although no fee is believed to be due, the Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. <u>50-3938</u>.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 6 of this paper.